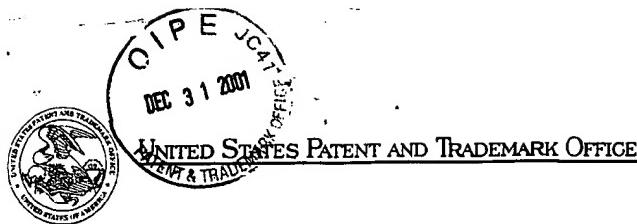


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Page 1 of 1
K3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/945,554	08/30/2001	Leonard Forbes	1303.028US1

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402

CONFIRMATION NO. 1837

FORMALITIES LETTER



OC00000006913480

Date Mailed: 10/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/02/2002 SMINASSI 00000003 09945534

01 FC:101	740.00 0P
02 FC:103	522.00 0P
03 FC:102	560.00 0P
04 FC:105	130.00 0P

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1082.
 - \$522 for 29 total claims over 20.
 - \$560 for 7 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 192.2.

A copy of this notice MUST be returned with the reply.

B/H
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND

TRADEMARK OFFICE

Applicant: Leonard Forbes

Title: SRAM CELLS WITH REPRESSED FLOATING GATE MEMORY, LOW TUNNEL BARRIER

Section
✓ #3

INTERPOLY INSULATORS

Docket No.: 1303.028US1

Serial No.: 09/945,554

Filed: August 30, 2001

Due Date: December 16, 2001

Examiner:

Group Art Unit: 2812

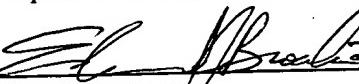
Attn: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- A return postcard.
- A check in the amount of \$130.00 to cover the Missing Parts Fee.
- A check in the amount of \$1,850.00 to cover the Basic Filing Fee and Additional Claims Fee.
- Communication Re: Missing Parts (1 pg.)
- A signed Declaration(3 pgs.).
- Notice to File Missing Parts (1pg.)
- Other: _____

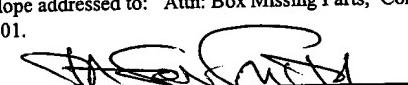
If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: 
Name: Edward J. Brooks, III
Reg. No. 40,925
EJB:CMG:jds

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 28 day of November, 2001.

Name: 


Signature

BEST AVAILABLE COPY



S/N 09/945,554

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leonard Forbes
Serial No.: 09/945,554
Filed: August 30, 2001
Title: SRAM CELLS WITH REPRESSED FLOATING GATE MEMORY, LOW TUNNEL BARRIER INTERPOLY INSULATORS

Examiner:
Group Art Unit: 2812
Docket: 1303.028US1

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Declaration along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$1,850.00 to cover the large entity basic filing fee.

Applicant assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6913.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

LEONARD FORBES

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6913

Date

11/27/2001

By


Edward J. Brooks, III
Reg. No. 40,925
EJB:CMG:jds

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 28 day of November, 2001.

Name

JASON SMITH

Signature

SHERON SMITH